

04/18/01

JC860 U.S. PTO

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

N1239-009

First Inventor

William A. KOEHRING

Title Inbred Corn Line RAA1

JC978 U.S. PTO

09/836348

04/18/01

☒ Assignee Information: Limagrain Genetics Grande Culture S.A.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
  2. ☐ Applicant claims small entity status.
  3. ☒ Specification Total pages [39]  
(preferred arrangement set forth below)
    - Descriptive title of the invention
    - Cross references to Related Applications
    - Statement Regarding Fed sponsored R&D
    - Reference to sequence listing, a table or a computer program listing appendix
    - Background of the Invention
    - Brief Summary of the Invention
    - Brief Description of the Drawings
    - Detailed Description
    - Claims
    - Abstract of the Disclosure
  - ☐ Drawing(s) (35 USC 113) (Total Sheets) [ ]
  - ☒ Oath or Declaration (Total Pages) [1]
    - a. ☒ Newly executed (original or copy)
    - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17 completed)
- [Note Box 5 below]**
- ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application,
- ☒ Application Data Sheet.

 ADDRESS TO: Assistant Commissioner of Patents  
 Box Patent Application  
 Washington, D.C. 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)
- ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement /PTO 1449
- ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s).
16. ☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
17. ☐ Other:

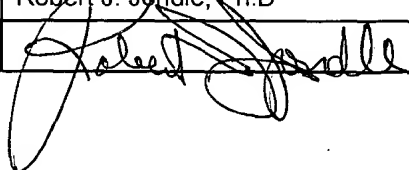
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

☒ Customer Number or Bar Code Label 6449

|           |   |           |              |                     |              |
|-----------|---|-----------|--------------|---------------------|--------------|
| Address   | Rothwell, Figg, Ernst & Manbeck<br>Suite 701-East, 555 13th Street, N.W.            |           |              |                     |              |
| City      | Washington  | State     | D.C.         | Zip Code            | 20004        |
| Country   | U.S.A.  | Telephone | 202-783-6040 | Fax                 | 202-783-6031 |
| Name      | Robert J. Jondle, Ph.D  |           |              |                     |              |
| Signature |  |           |              | Date April 17, 2001 |              |

 following  
 postcard

|   |                    |                        |                     |
|---|--------------------|------------------------|---------------------|
| <b>FEE TRANSMITTAL<br/>for FY 2001<br/>(Large Entity)</b> |                    | Complete if Known      |                     |
|   |                    | Application Number     |                     |
|   |                    | Filing Date            |                     |
|   |                    | First Named Inventor   | William A. KOEHRING |
|   |                    | Examiner Name          |                     |
|   |                    | Group Art Unit         |                     |
| Total Amount of Payment                                   | (\$) <b>984.00</b> | Attorney Docket Number | N1239-009           |

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck

☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status

2. ☐ Payment Enclosed: Check

**FEE CALCULATION**
**1. FILING FEE**

| Fee Code | Fee \$ | Fee Description        | Fee Paid |
|----------|--------|------------------------|----------|
| 101      | 710    | Utility filing fee     | [710.00] |
| 106      | 320    | Design Filing Fee      | [ ]      |
| 107      | 490    | Plant Filing Fee       | [ ]      |
| 108      | 710    | Reissue Filing Fee     | [ ]      |
| 114      | 150    | Provisional Filing Fee | [ ]      |

**SUBTOTAL \$710.00**

**\*2. CLAIMS**

|                                      | Extra Claims | Fee    | Fee Paid |
|--------------------------------------|--------------|--------|----------|
| * Total Claims [33] - 20** = [13] x  |              | \$18 = | [234]    |
| Independent Claims [3] - 3** = [0] x |              | 80 =   | [ ]      |
| Multiple Dependent Claims +          |              | 270 =  | [ ]      |

\*\*or number previously paid, if greater;

**SUBTOTAL \$234.00**

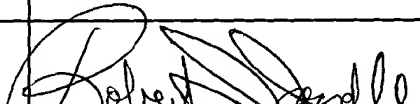
**FEE CALCULATION (continued)**
**3. ADDITIONAL FEES**

| Fee Code | Fee Paid | Fee Description  | Fee Paid |
|----------|----------|--|----------|
| 105      | 130      | Surcharge - late filing fee or oath  | [ ]      |
| 127      | 50       | Surcharge - late provisional filing fee or cover sheet                     | [ ]      |
| 139      | 130      | Non-English specification  | [ ]      |
| 147      | 2,520    | For filing a request for reexamination                                     | [ ]      |
| 112      | 920      | Requesting publication of SIR prior to Examiner action                     | [ ]      |
| 113      | 1,840*   | Requesting publication of SIR after Examiner action                        | [ ]      |
| 115      | 110      | Extension for reply within first month                                     | [ ]      |
| 116      | 390      | Extension for reply within second month                                    | [ ]      |
| 117      | 890      | Extension for reply within third month                                     | [ ]      |
| 118      | 1,390    | Extension for reply within fourth month                                    | [ ]      |
| 128      | 1,890    | Extension for reply within fifth month                                     | [ ]      |
| 119      | 310      | Notice of Appeal   | [ ]      |
| 120      | 310      | Filing a brief in support of an appeal                                     | [ ]      |
| 121      | 270      | Request for Oral Hearing   | [ ]      |
| 138      | 1,510    | Petition to institute a public use proceeding                              | [ ]      |
| 140      | 110      | Petition to revive -unavoidable  | [ ]      |
| 141      | 1,240    | Petition to revive - unintentional   | [ ]      |
| 142      | 1,240    | Utility issue fee (or reissue)   | [ ]      |
| 143      | 440      | Design issue fee   | [ ]      |
| 144      | 600      | Plant issue fee  | [ ]      |
| 122      | 130      | Petitions to the Commissioner  | [ ]      |
| 123      | 50       | Petitions related to provisional applications                              | [ ]      |
| 126      | 180      | Submission of Information Disclosure Statement                             | [ ]      |
| 581      | 40       | Recording each patent assignment per property (times number of properties) | [40]     |
| 146      | 710      | Filing a submission after final rejection (37 CFR .129(a))                 | [ ]      |
| 149      | 710      | For each additional invention to be examined (37 CFR 1.129(b))             | [ ]      |
| 179      | 710      | Request for Continued Examination (RCE)                                    | [ ]      |
| 169      | 900      | Request for expedited examination of a design application                  | [ ]      |

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL \$40.00**

|                      |   |                          |                |
|----------------------|---|--------------------------|----------------|
| SUBMITTED BY         |   | Complete (if applicable) |                |
| NAME AND REG. NUMBER | Robert J. Jondle, Ph.D Reg. No. 33,915  |                          |                |
| SIGNATURE            |  | DATE                     | April 17, 2001 |
|                      |   | DEPOSIT ACCOUNT USER ID  |                |